

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant (s): Hirotaka UZAWA

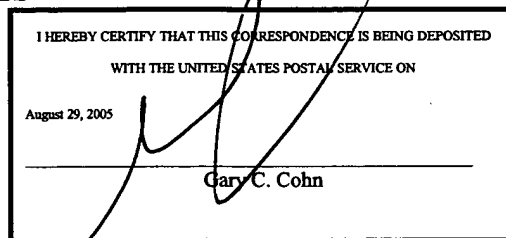
Serial No.: 10/501,124

Group Art Unit: 1623

Filed: July 7, 2004

Examiner: Fedowitz

For: NANOSCALE SELF-AGGREGATES



Hon. Commissioner of Patents & Trademarks

Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to Applicant's duty of disclosure under 37 CFR §1.56, the Examiner's attention is directed to the information identified in the attached Form SB/08. A copy of all cited patents and printed publications is enclosed.

☐ This paper is being filed before the latest of (a) three months after the filing date (if a national application), (b) three months after the date of entry of the national stage (if an international application) (c) before the date of mailing of the first action on the merits or (d) before the mailing of a first office action after the filing of a request for continued examination under 37 CFR §1.114.

☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this information disclosure statement.

☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, no item of information contained

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in this information disclosure statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this information disclosure statement.

- ☒ The fee set forth in 37 CFR §1.17(p) is enclosed.
- ☐ A petition requesting consideration of this information disclosure statement is enclosed.
- ☐ The petition fee set forth in 37 CFR §1.17(i) is enclosed.

The Examiner is requested to review each reference and formulate his or her own understanding thereof.

Respectfully submitted,
GARY C. COHN PLLC

Gary C. Cohn
Registration No. 30,456
Phone: (215) 931-0372

1147 N. 4th St., Unit 6E
Philadelphia, PA 19123

AUG 31 2005

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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~~Substitute~~ for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	10/501,124
Filing Date	July 7, 2004
First Named Inventor	Uzawa
Art Unit	1623
Examiner Name	Fedowitz
Attorney Docket Number	TIP 026

Sheet	1	of	2
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U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

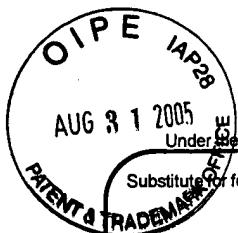
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Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT BY APPLICANT**

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Sheet 2 of 2

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		Joziasse et al, "1-3-Galactotransferase: the use of recombinant enzyme for the synthesis of alpha-galactosylated glycoconjugates", Eur. U. Biocyem 191, 75-83 (1990)	

Examiner
SignatureDate
Considered

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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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